



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Needleman et al.

Serial No.: 08/934,367

Filed: September 19, 1997

Entitled: AN IMMUNOLOGICAL PROCESS AND
CONSTRUCTS FOR INCREASING THE HDL
CHOLESTEROL CONCENTRATION BY
DNA VACCINATION

Examiner: M. Davis

Art Unit: 1642

Attorney Docket No.: AVA-432.1 US

Commissioner for Patents

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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office. A listing of the cited document is also enclosed, as well as, for the Examiner's convenience, a copy of the document in the list. This paper is being filed pursuant to 37 CFR § 1.97(c) along with the fee set forth in 37 CFR § 1.17(p), to secure consideration in the USPTO of documents cited by the European Patent Office in a corresponding foreign application.

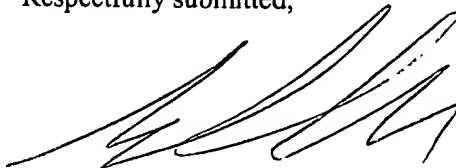
US Patent Documents

US 6,555,113 issued April 29, 2003, Rittershaus et al.

Applicants do not intend to represent that the document submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,



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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

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Melanie A. McFadden
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Modified FORM PTO-1449			ATTY. DOCKET NO. AVA-432.1 US	SERIAL NO. 08/934-367
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT Needleman et al.		
(Use several sheets if necessary)		FILING DATE September 19, 1997	GROSS 1642	

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REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 6,555,113	04/29/03	Rittershaus et al.			

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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